

Superintendencia del Mercado de Valores
Asesores de Inversión
Licencias Vigentes Otorgadas

Actualizado al 31 de julio 2026

No.	Nombre de Asesor de Inversión	Fecha de Resolución	No. Resolución
1	UBS ASESORES, S.A.	01-ago-01	CNV-316-2001
2	YORK INVESTMENT MANAGEMENT, S.A.	30-abr-02	CNV-182-02
3	NORDIA INVESTMENT ADVISORS, S.A.	05-jun-07	CNV-146-07
4	SUMMA ASSET MANAGEMENT CORP.	08-abr-08	CNV-90-08
5	K&B FINANCIAL GROUP, INC.	29-abr-08	CNV-110-08
6	KAPITAL INVESTMENTS MANAGERS, INC.	27-nov-08	CNV-371-08
7	BRB FINANZ A.G.	05-jun-09	CNV-170-09
8	IAM ASESORES, S.A.	24-ago-10	CNV-317-10
9	BCP ADVISORS, S.A.	12-nov-10	CNV-471-10
10	SP CAPITAL INTERNATIONAL, S.A.	11-mar-11	CNV-63-11
11	FINEC ASSET MANAGEMENT, CORP.	11-mar-11	CNV-65-11
12	INCREMENTUM PANAMA, S.A.	11-mar-11	CNV-61-2011
13	GRUPO GTA PANAMA, S.A.	18-mar-11	CNV-70-2011
14	KAUFMAN INVEST & TRADE INC.	06-may-11	CNV-147-2011
15	WS INVESTMENT GROUP PANAMA, S.A.	04-oct-11	CNV-350-11
16	QUANTUM ADVISORS, INC	22 -jun-12	SMV-204-2012
17	TRUSTED ADVISORS, INC.	28 -jun-12	SMV-216-2012
18	GSM Partners, S.A.	20 -ago-12	SMV-279-2012
19	HARBOR FN CORP	29-jul-13	SMV-280-2013
20	CHF ADVISORS LTD, S.A.	02-sep-13	SMV-343-2013
21	EVEREST CAPITAL PARTNERS INTERNATIONAL INC.	10-sep-13	SMV-349-2013

22	EVEREST CAPITAL PARTNERS PANAMA INC	10-sep-13	SMV-350-2013
23	EKINORTH ASESOR DE INVERSIONES, S.A.	03-feb-14	SMV-47-2014
24	ATIVA CAPITAL,S.A.	28-mar-14	SMV-155-2014
25	PRAMADEx CORPORATION PANAMA	27-may-14	SMV-253-2014
26	AURORA ASSET MANAGEMENT, S.A.	30-may-14	SMV-279-2014
27	CAUDAL CAPITAL, S.A.	25-mar-15	SMV-165-2015
28	SQUADRA MULTI FAMILY OFFICE-ASESOR DE INVERSIONES CORP.	11-sep-15	SMV-580-2015
29	GESTI PROJECT, S.A.	30-dic-15	SMV-811-2015
30	DOMENICO INVESTMENT ADVISORS, INC.	22-ene-16	SMV-30-2016
31	PRIVATE INVESTMENT MANAGEMENT ADVISORS PANAMÁ, S.A	06-feb-17	SMV-463-2016
32	ATHENA PARTNERS GROUP INC.	09-mar-18	SMV-113-2018
33	WYNN GLOBAL, INC.	09-oct-18	SMV-461-2018
34	ACTIADVISORS,CORP.	16-ene-19	SMV-10-2019
35	AIS FINANCIAL GROUP (PANAMA), S.A.	13-dic-19	SMV-552-2019
36	CI ASSOCIATES WEALTH MANAGEMENT,S.A.	01-jun-20	SMV-247-2020
37	INTELIGO BANK,LTD	18-jun-21	SMV-317-2021
38	VITE CAPITAL INTERNATIONAL, S.A.	22-jul-21	SMV-356-2021
39	ISP FINANCIAL SERVICES(PANAMA),S.A. *	29-oct-21	SMV-486-2021
40	PKB WEALTH MANAGEMENT,S.A.	08-nov-21	SMV-504-2021
41	BHD INTERNATIONAL BANK (PANAMÁ), S.A.	21-may-22	SMV-270-2022
42	SUNPARTNERS ASESORES DE INVERSIÓN PANAMÁ.S.A.	13-oct-22	SMV-343-2022
43	THE BRICKELL FINANCIAL ADVISOR, S.A. *	09-feb-23	SMV-45-2023
44	PRIME MANAGEMENT CONSULTANTS INTL. CORP.***	20-mar-23	SMV-95-2023
45	ZENTRAL FAMILY OFFICE, S.A.	24-mar-23	SMV-105-2023
46	OCTOGONE ASESORES (PANAMÁ) S.DE R.L.	12-jul-23	SMV-274-2023
47	EFG ASESORES (PANAMA), S.A.	11-ago-23	SMV-316-2023
48	INTERNATIONAL FINANCE ADVISORS,CORP.	14-ago-24	SMV-299-2024

49	AMES CAPITAL ADVISORS INC.	14-oct-24	SMV-368-2024
50	MBS ADVISORS	13-dic-24	SMV-303-2024
51	VIDA WEALTH MANAGEMENT,S.A.	03-abr-25	SMV-368-2025
52	MTCM STRUCTURING, S.A.	19-may-25	SMV-154-2025
53	AS FAMILY WEALTH, S.A.	31-oct-25	SMV-438-2025
54	ACTA WEALTH MANAGEMENT, INC.	25-mar-26	SMV-101-2026
55	BULL GLOBAL INVESTMENTS,INC.	14-may-26	SMV-189-2026
56	HAMILTON RESERVE LATAM,S.A.	01-jul-26	SMV-294-2026

* En proceso de Liquidación voluntaria

*** Entidades Suspendidas