

Superintendencia del Mercado de Valores
Asesores de Inversión
Licencias Vigentes Otorgadas

Actualizado al 31 de agosto 2025

| No. | Nombre de Asesor de Inversión | Fecha de Resolución | No. Resolución |
|------------|---|----------------------------|-----------------------|
| 1 | UBS ASESORES, S.A. | 01-ago-01 | CNV-316-2001 |
| 2 | YORK INVESTMENT MANAGEMENT, S.A. | 30-abr-02 | CNV-182-02 |
| 3 | NORDIA INVESTMENT ADVISORS, S.A. | 05-jun-07 | CNV-146-07 |
| 4 | SUMMA ASSET MANAGEMENT CORP. | 08-abr-08 | CNV-90-08 |
| 5 | K&B FINANCIAL GROUP, INC. | 29-abr-08 | CNV-110-08 |
| 6 | KAPITAL INVESTMENTS MANAGERS, INC. | 27-nov-08 | CNV-371-08 |
| 7 | BRB FINANZ A.G. | 05-jun-09 | CNV-170-09 |
| 8 | IAM ASESORES, S.A. | 24-ago-10 | CNV-317-10 |
| 9 | ASESORÍA E INVERSIONES AAB, S.A. (ASINSA) | 27-ago-10 | CNV-329-10 |
| 10 | BCP ADVISORS, S.A. | 12-nov-10 | CNV-471-10 |
| 11 | SP CAPITAL INTERNATIONAL, S.A. | 11-mar-11 | CNV-63-11 |
| 12 | FINEC ASSET MANAGEMENT, CORP. | 11-mar-11 | CNV-65-11 |
| 13 | INCREMENTUM PANAMA, S.A. | 11-mar-11 | CNV-61-2011 |
| 14 | GRUPO GTA PANAMA, S.A. | 18-mar-11 | CNV-70-2011 |
| 15 | KAUFMAN INVEST & TRADE INC. | 06-may-11 | CNV-147-2011 |
| 16 | WS INVESTMENT GROUP PANAMA, S.A. | 04-oct-11 | CNV-350-11 |
| 17 | QUANTUM ADVISORS, INC | 22 -jun-12 | SMV-204-2012 |
| 18 | TRUSTED ADVISORS, INC. | 28 -jun-12 | SMV-216-2012 |
| 19 | GSM Partners, S.A. | 20 -ago-12 | SMV-279-2012 |
| 20 | HARBOR FN CORP | 29-jul-13 | SMV-280-2013 |
| 21 | CHF ADVISORS LTD, S.A. | 02-sep-13 | SMV-343-2013 |

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| 22 | EVEREST CAPITAL PARTNERS INTERNATIONAL INC. | 10-sep-13 | SMV-349-2013 |
| 23 | EVEREST CAPITAL PARTNERS PANAMA INC | 10-sep-13 | SMV-350-2013 |
| 24 | LW ASESORES DE INVERSIONES, S.A. | 03-feb-14 | SMV-47-2014 |
| 25 | ATIVA Capital,S.A. | 28-mar-14 | SMV-155-2014 |
| 26 | PRAMADDEX CORPORATION PANAMA | 27-may-14 | SMV-253-2014 |
| 27 | AURORA ASSET MANAGEMENT, S.A. | 30-may-14 | SMV-279-2014 |
| 28 | CAUDAL CAPITAL, S.A. | 25-mar-15 | SMV-165-2015 |
| 29 | SQUADRA MULTI FAMILY OFFICE-ASESOR DE INVERSIONES CORP. | 11-sep-15 | SMV-580-2015 |
| 30 | GESTI PROJECT, S.A. | 30-dic-15 | SMV-811-2015 |
| 31 | DOMENICO INVESTMENT ADVISORS, INC. | 22-ene-16 | SMV-30-2016 |
| 32 | PRIVATE INVESTMENT MANAGEMENT ADVISORS PANAMÁ, S.A | 06-feb-17 | SMV-463-2016 |
| 33 | ATHENA PARTNERS GROUP INC. | 09-mar-18 | SMV-113-2018 |
| 34 | WYNN GLOBAL, INC. | 09-oct-18 | SMV-461-2018 |
| 35 | ACTIADVISORS,CORP. | 16-ene-19 | SMV-10-2019 |
| 36 | AIS FINANCIAL GROUP (PANAMA), S.A. | 13-dic-19 | SMV-552-2019 |
| 37 | CI ASSOCIATES WEALTH MANAGEMENT,S.A. | 01-jun-20 | SMV-247-2020 |
| 38 | INTELIGO BANK,LTD | 18-jun-21 | SMV-317-2021 |
| 39 | VITE CAPITAL INTERNATIONAL, S.A. | 22-jul-21 | SMV-356-2021 |
| 40 | ISP FINANCIAL SERVICES(PANAMA),S.A. * | 29-oct-21 | SMV-486-2021 |
| 41 | PKB WEALTH MANAGEMENT,S.A. | 08-nov-21 | SMV-504-2021 |
| 42 | BHD INTERNATIONAL BANK (PANAMÁ), S.A. | 21-may-22 | SMV-270-2022 |
| 43 | SUNPARTNERS ASESORES DE INVERSIÓN PANAMÁ.S.A. | 13-oct-22 | SMV-343-2022 |
| 44 | THE BRICKELL FINANCIAL ADVISOR, S.A. | 09-feb-23 | SMV-45-2023 |
| 45 | PRIME MANAGEMENT CONSULTANTS INTL. CORP. | 20-mar-23 | SMV-95-2023 |
| 46 | ZENTRAL FAMILY OFFICE, S.A. | 24-mar-23 | SMV-105-2023 |
| 47 | OCTOGONE ASESORES (PANAMÁ) S.DE R.L. | 12-jul-23 | SMV-274-2023 |
| 48 | EFG ASESORES (PANAMA), S.A. | 11-ago-23 | SMV-316-2023 |

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| 49 | AMES CAPITAL ADVISORS INC. | 14-oct-24 | SMV-368-2024 |
| 50 | MBS ADVISORS | 13-dic-24 | SMV-303-2024 |
| 51 | VIDA WEALTH MANAGEMENT,S.A. | 03-abr-25 | SMV-368-2025 |

* En proceso de Liquidación voluntaria